

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications Under 37 CFR 1.53 (b) )

Attorney Docket No. LCP-014

First Inventor Lysiansky

Title **Optical Waveguide Exhibit<sup>ing</sup> Strongly  
Positive Dispersion, and System Utilizing  
Same**

Express Mail Label No. FedEx 830578979390

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 22 ]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications.
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table,  
or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (is filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/ or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CFR)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies): or
    - ii. ☐ Paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheets & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of  
(When there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of  
Statement (IDS)/PTO-1449 IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other: \_\_\_\_\_

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 7 ]

Declaration and Power of Attorney [Total Pages 3 ]

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/ divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
Named in the prior application, see 37 CFR  
1.63 (d)(2) and 1.33 (b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) Of prior application No: \_\_\_\_\_ /

Prior application information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_


For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label  Or ☐ Correspondence address below

29730

PATENT &amp; TRADEMARK OFFICE

Name	LaserComm Inc.				
Address	2600 Technology Drive – Suite 900				
City	Plano	State	Texas	Zip Code	75074
Country	U.S.A.	Telephone	(972) 941-0346	Fax	(972) 941-0222
Name (Print/ Type)	Simon Mark Kahn		Registration No. (Attorney/ Agent)		48,249
Signature				Date	JAN. 20, 2002

# FEE TRANSMITTAL

## Complete if Known

Applications Serial Number	
Filing Date	
First Named Inventor	Lysiansky et al.
Group Art Unit	
Examiner	
Attorney Docket No.	LCP-014

### METHOD OF PAYMENT

1. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☒ Other
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below to:
- Deposit Account No.50-1937
- ☒ Required Fees (Copy of this sheet enclosed).
- ☒ Additional fee required under 37 CFR 1.16 and 1.17.
- ☐ Overpayment Credit.

### FEE CALCULATION (continued)

#### 3.ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or	
130	130	Non-English specification	
2,520	2,520	For Filing a request for reexamination	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	
920	460	Extension for reply within third month	
1,440	720	Extension for reply within fourth month	
1,960	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
300	300	Publication Fee (37CFR 1.213)	
50	50	Petitions related to provisional applications	
180	180	Submission of Information Disclosure Statement (37 CFR 1.97(c))	
130	130	Submission of Information Disclosure Statement (37 CFR 1.97(d))	
740	370	Filing a submission after final rejection (37 CFR 1.129(a))	
740	370	For each additional invention to be examined (37 CFR 1.129(b))	
40	40	Recordation of Assignment per property	

#### Fee Calculation

#### 1. FILING FEE

##### Large Entity

Fee(s)	Fee Description	Fee Paid
740	Utility filing fee	740
330	Design filing fee	
160	Provisional filing fee	

	Number filed	Number Extra	Rate	Amount
Total Claims	-20=		X \$18.00	=
Independent Claims	-3 =		X \$84.00	=

☐ Multiple Dependent Claim(s), \$280.00 = 0.00 if any

SMALL ENTITY DISCOUNT: (370)

SUBTOTAL (1) (\$370.00)

#### 2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
Total	-20=		X \$18.00=	
Indep.			X \$80.00=	
			+ \$270.00=	

☐ First Presentation of Multiple Dep. Claim

Total: (\$)  
 Small entity Discount: (\$)  
 SUBTOTAL (2) (\$)

SUBTOTAL (3) \$

SUBTOTAL (1) 370.00

SUBTOTAL (2) ---

SUBTOTAL (3) ---

TOTAL (\$) 370.00

### CORRESPONDENCE ADDRESS

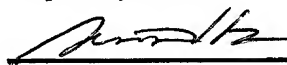
Direct all correspondence to:

Intellectual Property Dept.  
 LaserComm, Inc.  
 2600 Technology Drive - Suite 900  
 Plano, Texas 75074  
 Phone: 1-972-941-0346  
 Fax: 1-972-941-0222

### SIGNATURE BLOCK

Respectfully submitted

Date: January 20, 2002  
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 Simon Mark Kahn  
 Agent for Applicants